University of Alaska Emergency Management
Readiness and Compliance * Report Card (2012 BOR Report)

Grade		***All-Hazards Incident Management Team (IMT)***	***Communication Capability and Plans***	***Training***	***Exercise Program***
		Type3All-Hazard /IMT • Activate to assist and coordinate any UA incident response and recovery effort that goes beyond campus capabilities/ resources • Continued testing and evaluation of IMT role • State/Fed Stakeholder t ANYregional unified command response	Blackboard Connect Alerting System Implementation • Ongoing marketing strategy and testing • Continued training and evaluation of Campus staff and alerting protocols		

B. Health, Safety and Environmental Management

The University of Alaska is required by federal, state and local governmental agencies to comply with numerous guidelines, regulations, and standards. In the system office, our primary service is to develop and implement a system-wide compliance management framework, tools, processes, and resources. The system office also conducts periodic compliance audits at the campuses, provides quarterly reports to the Board of Regents, president, chancellors, and campus directors on compliance status, and manages a loss prevention program.

2012 Highlights

HSE Program Reviews:

The University of Alaska HSE Program Review process commenced in August 2011; there have been 5 reviews conducted to date. The internal review process is collaborative, including participation of EHS professionals throughout the University system, allowing for the sharing of best practices and cross training. The process includes an opening meeting, daily briefings with the host campus EHS staff, a review of preliminary findings at a closing meeting, and a commitment meeting that formalizes the findings and sets responsible persons, target dates, and signature/date from the host MAU Vice Chancellor of Administration.

Student Accidents & Injuries:

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OSHA recordable Injuries (employees) in the University of Alaska System – totals and geographical locations

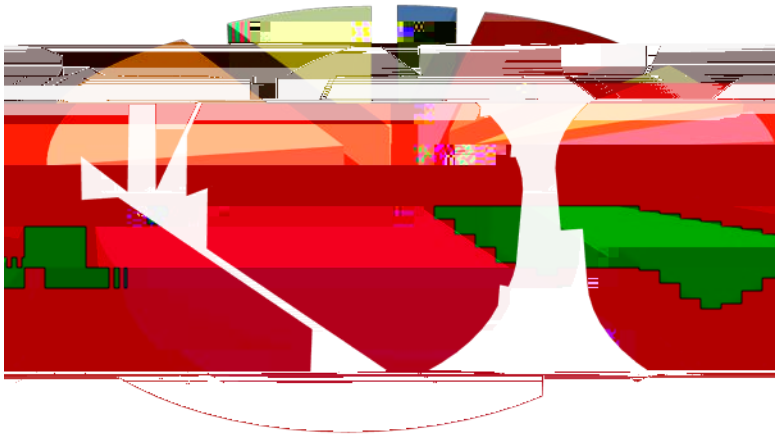
January 1, 2012 through September 30, 2012

Note: This chart shows the rough geographical distribution of OSHA recordable injuries within the University of Alaska system, without specific attribute to any particular MAU. Several of the injuries occurred at field stations or while traveling.

1/25/2012	Multiple fingers cut	Caught between objects	UAF	Fairbanks	UPCC Cultural Center
2/3/2012	Separated Shoulder	Twisting	UAF	Fairbanks	1855 Marika Road, Mail Room, Front Doors
2/8/2012	Burned arm	Extreme heat	UAF	Fairbanks	Boiler #3
2/17/2012	Fracture of back ribs	Slip/Trip/Fall	UAF	Fairbanks	Iron Stairs near Wood Center
2/21/2012	Left knee, arm, and neck contusions/strain	Slip/Trip/Fall	UAF	Fairbanks	East Entry of Fine Arts, Facing Tanana Loop
2/22/2012	Sprained right ankle	Slip/Trip/Fall	UAF	Fairbanks	HMF Facility
2/24/2012	Hit head and shoulder on ground	Slip/Trip/Fall	UAF	Fairbanks	Parking Lot behind Reichardt Bldg
2/27/2012	Lower back, right shoulder, leg bruised	Slip/Trip/Fall	UAF	Dillingham	Stairs to Parking lot
3/7/2012	contusions to head, shoulder, hip	Slip/Trip/Fall	UAF	Fairbanks	Handicapped Ramp between Eielson and Gruening
3/26/2012	Right "side" of body bruised	Slip/Trip/Fall	UAF	Fairbanks	Rasmussen Library Room 235
4/2/2012	Elbow, ankle, hand, hip	Slip/Trip/Fall	UAF	Fairbanks	Sidewalk to Artic Health
1/11/2012	Lower back muscle inflammation	Slip/Trip/Fall	SW	Nome	Parking lot of Nacier Vocational School
2/27/2012	Sprained tailbone	Slip/Trip/Fall	SW	Fairbanks	Butrovich Parking Lot
1/22/2012	Smashed finger	Dropped object	UAA	Anchorage	Wells Fargo Sports Complex Fitness Center
2/3/2012	Smashed and split finger	Caught between objects	UAA	Anchorage	Parking area at GHH
2/3/2012	Strained lower back	Lifting and Twisting	UAA	Anchorage	GHH Loading Dock
2/4/2012	Strained back	Lifting and Twisting	UAA	Homer	Multiple Locations
2/7/2012	Strained lower back	[redacted]			

OSHA Recordable Injuries

By Cause
01/01/2012-09/30/2012



- Illness - Other
- Laceration
- Lifting/handling objects (not people)
- Motor vehicles (not slip and fall)
- Overuse/overextension/twisting actions
- Slip/trip and/or fall - inside buildings
- Slip/trip and/or fall - outside buildings
- Strain
- Str 26 2 c8nj3.802ebjc.5bjc.52(t)7(s)-3670-()-56 2 c-Ctsid

Safety Training Year-to-Date 2012 TTC ET

UA HSE Program Review Scorecard

Closure progress of finding requirements vs. committed dates

Scorecard legend for the Progress column

ON TRACK

FINDING CLOSED

Review/Date	Finding Number	Compliance

FINDING CLOSED

Review/Date	Finding Number	Compliance Element	Finding Requirements (summary)	Main Target Completion Date	Progress	Comment
UAA Anchorage campus October 2011	**2012-05**	**Spill Prevention, Control, and Countermeasures Plan (SPCC)**	See milestones below and refer to the final report FY2012-02 for details	Oct 1, 2013	**CLOSED** ¥	
Requirement ID/ Milestone #		**Milestone Requirement**		**Milestone Target Dates**	**Progress**	**Comment**
a		**Security** - Fencing is required at all outdoor tank locations		Oct 1, 2013	**CLOSED** ¥	
b		**Written Plan Sec. 5.4**- Plan requires that a UAA rep is present at all tank deliveries		July 1, 2012	**CLOSED** ¥	
c		**Lighting** – Install lighting at all tank locations that is adequate to discover spills and prevent vandalism		Oct 1, 2013	**CLOSED** ¥	
d		**Physical Barriers** – Install physical barriers at all tank locations to prevent delivery vehicles from departing prior to disconnection of transfer line		Oct 1, 2013	**CLOSED** ¥	
e		**Written Plan; Diagrams** - the written plan does not include connecting piping on the diagrams that show tank location		Oct 1, 2012	**CLOSED** ¥	

Review/Date	Finding Number	Compliance Element	Finding Requirements (summary)	Main Target Completion Date	Progress	Comment
UAA Anchorage/Mat-Su Campuses October 2011	**2012-06**	**The Control of Hazardous Energy (Lockout/Tagout)**	See milestones below and refer to the final report FY2012-02 for details	Oct 1, 2015	**ON TRACK**	
Requirement ID/ Milestone #		**Milestone Requirement**		**Milestone Target Dates**	**Progress**	**Comment**
a		**Equipment –Specific Procedures**- Need to be written for equipment with multiple energy sources		Oct 1, 2015	**ON TRACK**	
b		**Lockout Devices** – To be singularly identified		Oct 1, 2012	**CLOSED** ¥	
c		**Training** - to include the recognition of applicable hazardous energy hazards		Oct 1, 2012	**CLOSED** ¥	
d		**Training** – train on the limitations of tags		Oct 1, 2012	**CLOSED** ¥	
e		**Training** – all affected employees are to be notified of the removal of LO/TO devices		Oct 1, 2012	**CLOSED** ¥	
f		**Procedures** – include how the site addresses the isolation of stored, residual, or reaccumulation of energy		Dec 1, 2012	**CLOSED** ¥	
g		**Lockout device removal** – all affected employees need to be notified of the removal of LO/TO devices		Oct 1, 2012	**CLOSED** ¥	
h		**Lockout device removal** – plan does not match practice for long term locks		Dec 1, 2012	**CLOSED** ¥	
i		**Contractors** – ensure that UAA employees follow the restrictions and prohibitions of the contractor LO/TO plan		Dec 1, 2012	**CLOSED** ¥	
j		**Shift Change** - develop a procedure for shift or personnel changes to ensure the continuity of LO/TO protection		Dec 1, 2012	**CLOSED** ¥	

FINDING CLOSED

Review/Date	Finding Number	Compliance Element	Finding Requirements (summary)	Main Target Completion Date	Progress	Comment
UAA Anchorage/Mat-Su Campuses October 2011	**2012-07**	**Walking/Working Surfaces**	See milestones below and refer to the final report FY2012-02 for details. Specific locations are identified with photos in the report for each line item.	Oct 1, 2014	**CLOSED** ¥	

r	**Rescue and Emergency Services –** non-entry rescue is non-compliant			
s	**Rescue and Emergency Services –** Practice rescue is not performed at least every 12 months			
t	**Fixed Ladders -** Confined Space access (ladders) for Seawater pit and Mourant Bldg are non-compliant with the rule	Dec 31, 2012	**ON TRACK**	
u	**Protection of Floor Openings -** The access opening to the seawater permit required confined space is unguarded and is a fall hazard	Aug 31, 2012	**CLOSED** ¥	

FINDING CLOSED

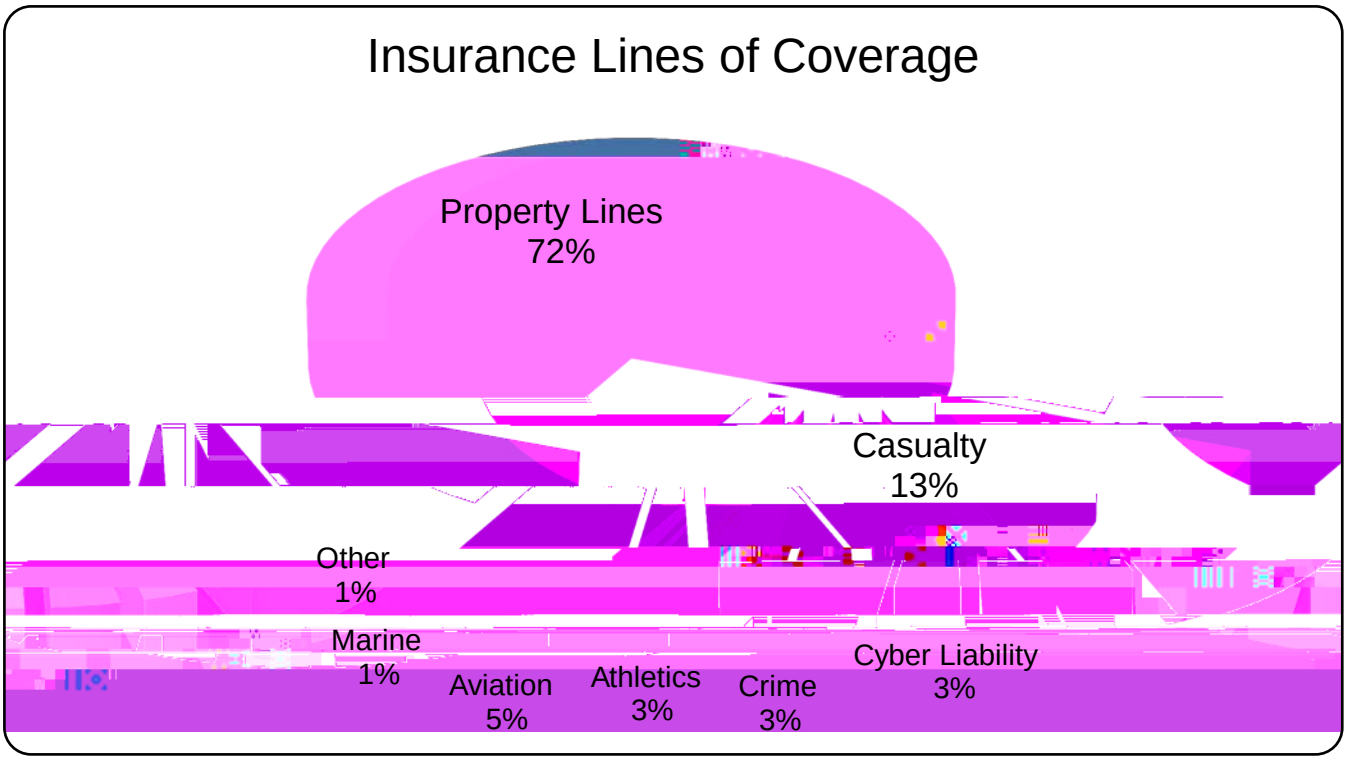
Review/Date	Finding Number	Compliance Element	Finding Requirements (summary)	Main Target

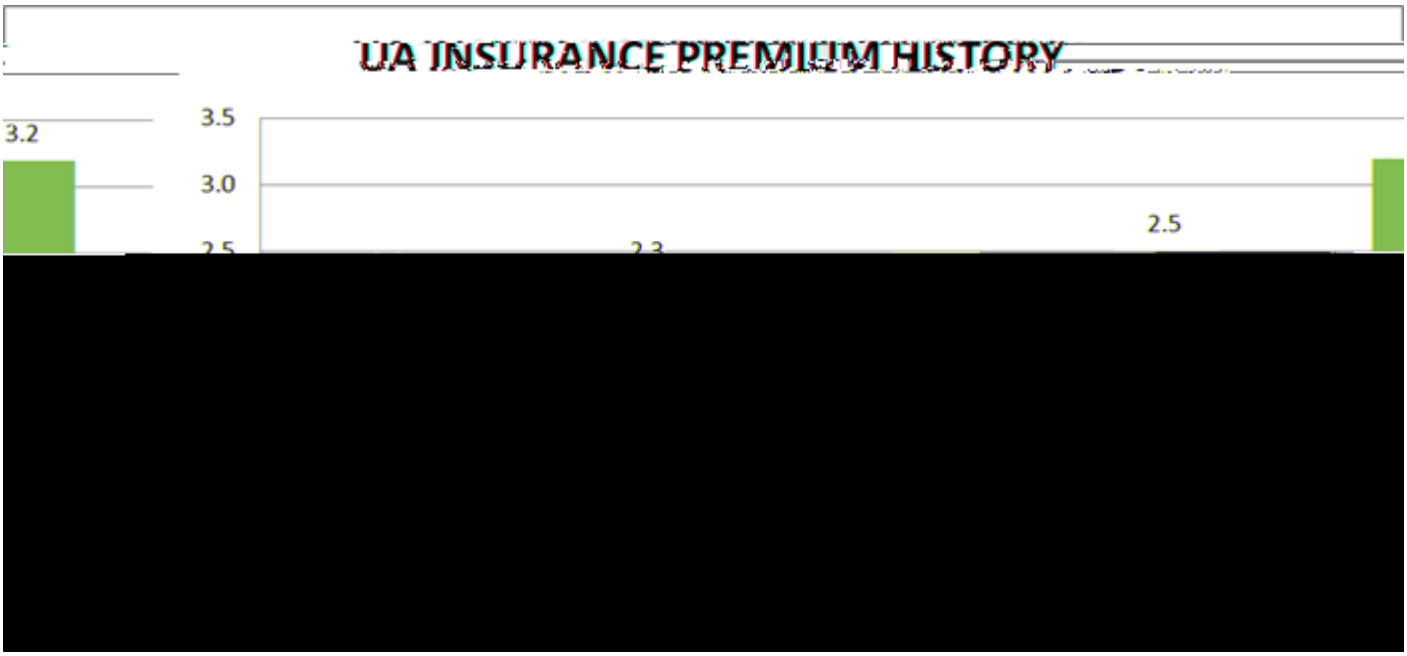
Review/Date	Finding Number	Compliance Element	Finding Requirements (summary)	Main Target Completion Date	Progress	Comment
UAF Fairbanks June 2012	**2012-14**	**Hazard Communication**	See milestones below and refer to the final report FY2012-04 for details	December 31, 2012	**ON TRACK**	
Requirement ID/ Milestone #		**Milestone Requirement**		**Milestone Target Dates**	**Progress**	**Comment**
a		Update the Facilities Services Hazard Communication Plan to reflect that when travel between workplaces takes place, the written program will be kept at the primary workplace facility (physical plant)		Dec 31, 2012	**ON TRACK**	
b1		EH&S/RM updates the UAF Hazard Communication plan to identify the method				

IV. Risk Transfer & Loss Mitigation

A. Insurance

The university self-insures a percentage of its property and casualty lines: general liability, educators legal liability, auto, and property. The university self-insures for workers' compensation. UA participates in broker selection with the State of Alaska and markets its property, marine and aviation in conjunction with the State, which generally gives us greater buying power


Insurance Lines of Coverage
Property Lines
72%
Casualty
13%
Other
1%
Marine
1%
Aviation
5%
Athletics
3%
Crime
3%
Cyber Liability
3%


UA INSURANCE PREMIUM HISTORY
3.2
3.5
3.0
2.5
2.3
2.5

B. Claims Management

The University of Alaska's claims management program operates on the philosophy that, while not all losses can be prevented, claims can be effectively managed to protect the University's human and financial resources. The Risk Services staff of licensed claims professionals evaluates and adjusts claims arising out of the University's operations. Major lines include:

- Workers' Compensation
- General Liability
- Auto
- Employment Practices
- Property
- Marine
- Aviation

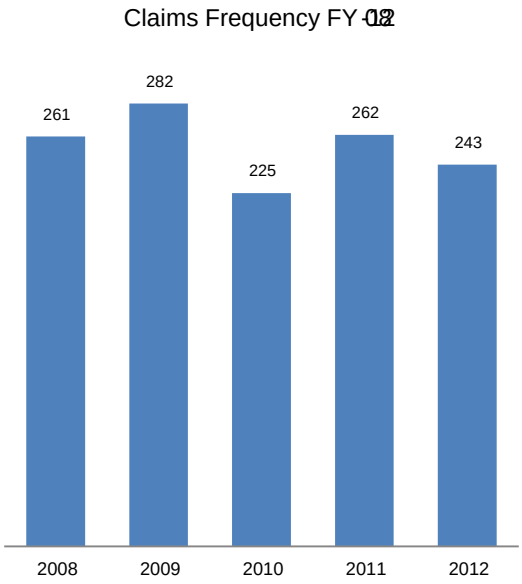
Workers' compensation is typically one of the largest loss exposures for any employer, and the University is no exception. Our in-house adjusters are knowledgeable about workers' compensation issues peculiar to the higher education environment and about the special requirements and challenges posed by serving employees in our remote campuses. **Over the past five years, the University's average cost-per-claim has been significantly lower than**

2012 Highlights

State Legal and Regulatory Developments:
The Alaska Division of Workers' Compensation has announced a move to electronic reporting of claims in 2013. Our current risk management information system does not meet the State's projected compliance requirements and we are working with OIT Project Management Office to identify a cost-effective software solution.

Alaska remains a challenging workers' compensation environment for self-insured employers. Medical benefits continue to expand, but we expect some legislative efforts at cost reduction in the next session. We'll be monitoring this closely to assess any impact on the University's self-insurance program.

Federal Legal Developments:
Federal requirements imposed in 2011mandated that all self-insured entities electronically report claim settlements paid to Medicare recipients. Although we have been in 100% compliance so far, our risk management information system has required new programming whenever the Medicare reporting rules are changed. Medicare electronic reporting capability will be one of the requirements for the replacement software project, eliminating re-programming costs and providing a more dependable compliance solution.



V. Working together in FY 2013

In 2013, Risk Services will be looking for "shared success" with its partners both at the MAU and outside the university. Success in enterprise risk is by its very nature a shared success. Each unit within Risk Services will be working with its internal and external partners to build shared standards and best practices, to develop risk, insurance, safety and emergency tools for use by the university community, to communicate and train.

We count the Board of Regents as a critical partner in our shared success in enterprise risk.