

**Audit Status Report
As of January 30, 2014**

FY2014 Audit Plan

Italic Items - have been completed or are in progress

External Financial Audit Support:

<p><i>Year-end Cutoff</i></p> <p><i>Procurement Card</i></p> <p><i>Payroll</i></p> <p><i>Journal Entries</i></p> <p><i>Cash Disbursements & Bank Transfers</i></p>	<p><i>Cash</i></p> <p><i>Auxiliary Revenues</i></p> <p><i>Unexpended Plant Fund Additions</i></p> <p><i>Search for Unrecorded Liabilities</i></p>
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Audits and Projects:

University of Alaska Anchorage:

Student

Department Review

Subcontract Monitoring

*Restricted Funds Monitoring**
(FY13)

*Departmental Review** - Mat-Su*
College Phase II (FY13)

Information Systems Reviews:

*OnBase Access Controls***

Mobile Technology Security

Records Management and Data
Disposal

Business Continuity

*Banner Access Controls** (FY13)*

Data Integrity (FY13)

University of Alaska Fairbanks:

Student

Department Review*

Athletics

Ongoing Audits:

Follow-up Auditing

Continuous Controls Auditing

University of Alaska Southeast:

Sitka Campus Title III (FY13)

Special Requests*

ProCard – UAA, UAF, UAS

Electronic Research Administration

Statewide:

Department Review

Training

Investigations*

#1 – Confidential

#2 – Confidential

#3 – Confidential

#4 – Confidential

#5 – Confidential

Function and System Reviews:

Budget

Construction Project Management
and Operations Planning

Contract Authorization and
Administration

Risk Management

*Specific departments/areas to be determined later

**Carried forward from FY13

1. FY2014 Audit Plan Progress and Department Staffing
 - a. Fully staffed with four full-time auditors and a part time student intern.
2. Audit Reports:
 - a. Preliminary reports issued January 15, 2014 with formal response due February 19, 2014:
 - UAA Mat-Su College Phase II
 - UAA Restricted Funds Budget and Expenditure Monitoring
 - Banner Access Controls
 - b. Preliminary reports issued January 16, 2014 with formal response due February 20, 2014:
 - UAA Disability Support Services
3. Special Requests Completed:
 - a. System-wide Procurement Card
 - b. Electronic Research Administration
4. Audits in Progress:
 - a. Sitka Campus Title III
 - b. Data Integrity – Student Enrollment Records
 - c. Construction Project Management and Operations Planning
5. Support and Consultation Activities
 - d. In progress:
 - i. University regulation and hotline for fraud, waste and abuse.
 - ii. Business continuity (Kuali Ready implementation).
 - iii. Assistance with risk management identification and risk planning processes.
 - iv. Internal control discussions with staff system wide (upon request).

**External Audit Status Report
As of January 30, 2014**

State Legislative Audit Activities

None

External Audit Reports & Activities

Work in Progress:

1. Sikuliaq Research Vessel (NSF)