



\$FFRXQWLQJ DQG \$GPLQLVWUDWLYH 0DQX
6HFWLRQ RXQW\$EJ DQG)LQDQFH

6XEUHFLSLHQW 0RQLWRULQJ 'DWH
1R ' 3DJH RI

*HQHUDO

7KH XQLYHUVLW\ LKHVIRU WSRQ VLHL DHOH UDHS R D QG QJ RZ KH
HQWHUV LQWR FRIQQWUD FZWW OJ BYH DQF HQW URIU LSRG OSWR W
RXW D SURJUDP

7KH 8 6 2IILFH RI QG QD X E PHW W 2D % & LU FZDOD X VH S) L G
WKH SUHSDUDWLRQ QG W KLVHSURR E E X G LQ WKH WH[W

7KLV SURFHGXUH GRFXPHQWV WKH XQX E H H F L S M HQ W V V W
H € À p Q W V WR VXEUHFLSLHQWV
& 0RQLWRULQJ GXULQJ WKH DZDUG
' 0RQLWRULQJ VXEUHFLSLHQWV DXGLWV

\$ 6XEUHFLSLHQW DQDUDG FMOH LMW DWLRQ

7KH GHILQLWLRSQ JLYHQ 6 X E U H F L S L H Q W LV

Subrecipient PHDQV D QRQ)HGHUDO HQWLW\ WKDW UHFHLYHV D
WKURXJK HQWLW\ RW RD F) HGHUD XWS S BQRY PL QFXOX GGR HDQ
LQGLYLGXDO WKDW WI LWX DK ESURHUW E EDW \$P D X EDUHFRL SELH D
UHFLSLHQW RI RWKHU)HGHUDO DZDUG V H Q L U H F W O \ I U R P D)

\$ 3QRQ)HGHUDO HQWLW\ W'RFH DQJR D H U V E F H Q W V W Q G X D Q H RI KLJK
HGXFDFWLRQ ,+(J D Q L Q B Q L S R Q M W B H F U D O U D E D U R G X W V D D U H F L S L H
RU VXEUHFLSLHQW

&KDUDFWHULVWL FV F Z D L V R M W S S R L R Q D) H G W L W \ D V D V X E U H F L S
LQFOXGH ZKHQ WKH QRQ)HGHUDO HQWLW\

'HWHUPLQHV ZKR LW HH Q K D L V E Q H G W R U D H F H V L V W D Q F H
+DV LW SHUIRUPDQFH PHDVXUHG LGE M H I O W M L R Q R W R D Z K H W F
)HGHUDO SURJUDP ZHUH PHW
+DV UHVSQRVLE E P E D W W L F R G H S F U R L R Q P D N L Q J
,V UHVSQRVLEOH IRU DGKHUHQFH WURH F S S O V F V S E H F L) H G H U D
LQ WKH)HGHUDO DZDUG



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1R '

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3DJH RI

7KH LQIRUPDWLRQ WR VXSSRUW WKH ZDWHUHG FLV BHOWK H
SURFXUHPHQW RU UFRQW WDXFWS QR FRIDIEPHHQW RIUL FIRQ VGRH
DJUHH ZLWK WKHUPX QDMFLRSQ HQXW WKKWW KHVJUXDQWR QDQG F
RIILFH PXVW WDNH SODFH 7KH &RQWUHRIOSXHGUL WL QI HFRQW
ZLOO SURYLGH JXLGDQFH LI DQ LPSQVWR VXS\$HSDFAK HVG
VXEUHFLSLHQW VQZWWK WKRK CHB BHDWDOJUDQW GRFX

1RUPDOO\ LI D VXEUHFLSLHQW LEOND FRLQV BSWH [RDWEH
&RQWUDFWV ZLWK WXLQFHFLSHL HGWV LQXRUPD WLRQ% CELVORZ

% 1RWLILFDVPHQVR IURH TXIEWHFLSLHQWV

7KH SURFXUHPHQW RIILFHUV ZRUNQ DO IQPFRQ/MJLDFQWL R
FRQWUDFWV RIILFDW FRQMU BQW WUZFLWKI VXEUHFLSLHQW

WKH IHGHUDO VROUGLHQRIWK QG)' \$LQEWZDHU BQGDQMP
DQG QXPEHU DZDZDGHIDU5 LI WQG ODPJHRIF)HGHUD
DOO DSSQLFRZOHFOOVHV
WKH FRVW SUFLQVLSO&H) JH I HUGXES DUFWSOH &RVW 3UL
WKH ODZV DQG UHEJQ DWRL RQKH DSSURELDF H UQFOOQG G
XQLYHUVLVW\ ILWVDO WLOGH DGHPLXQLW HPHQWV
D FODXVH VWDWLQJ VXEUHFLSLHQWV (QXWLVW IHHVW

RIILHFF\RQ •đ0 ° DUW (± •đWH





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6HFWLRQ RXQW\$EJ DQG)LQDQFH

6XEUHFLSLHQW 0RQLWRULQJ
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3DJH RI

DJUHHPHQW FKHFNV SXUFKDVH RUVBQWLDQV VHEGHRFL
VWDWXV 7KH 6WVWVWLQH RXQGH\$WHEGHRFLQSLMWWVWRRY
FRPSOHWLRQ RI D)HGHUDO 6LQJOH \$XGLWUHXDQGHUWVWVX
DQ\ FXUUHQW \HDU RU SULRU \HDU IEQGLQJM QV6RXWR
VXEUHFLSLHQWV WRKHVWLGHVWLKHEBZLRSOSUOHVWHU W
VXEUHFLSLHQWV LV LQ \$WWDFKPHQW % SDJH

7KH)HGHUDO 6LQJOH \$XGLW UHSRUWKLDQGLQHTRQMGW





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6HFWLRQ RXQW\$EJ DQG)LQDQFH

6XEUHFLSLHQW 0RQLWRULQJ
1R '

'DWH
3DJH

RI

\$WWDFKPHQW \$

0RQLWRULQJ RI 1RQH SURHLOWDOG6XEUHFLSLHQWV
\$V UHTXLUHG E\ 20% &)5 8QLIRUP *XLGDQF

'RHV WKLV FRQWUDF W JUDQWRQLWRULQJ
FRQWDLQ IHGHUDO IXQGLQJ"

QRW UHTXL



\$FFRXQWLQJ DQG \$GPLQLVWUDWLYH 0DQX
6HFWLRQ RXQW\$EJ DQG)LQDQFH

6XEUHFLSLHQW 0RQLWRULQJ
1R '

'DWH
3DJH RI

30HDVH UHWXUQ WR ;;; 8QLYHUVLWEDQ\$D\$ND 32 %RRU HPDLDLW
IXQG#DODVND HGX 6KRXOG \RX KDYH;;D;QDWXHVWLRQV SFOHDVH
HPDLO ;;;#DODVND HGX

6LQFHUHO\

)LQDQFLDO \$FFRXQWLQJ 'LUHFWRU